



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Peter M. Black et al.
Serial No. : 09/738,471
Filed : December 15, 2000
Title : TARGETED E-COMMERCE SYSTEM
Examiner : FISCHER, Andrew J
Group Art Unit : 3627
Docket No. : 5598/89CIP

Mail Stop: Amendments
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submitted on October 8, 2004 an Information Disclosure Statement (IDS). In the Office Action, the Examiner states that the Applicants did not comply with the provisions of 37 C.F.R. § 1.97, 37 C.F.R. § 1.98, and MPEP § 609 because the date of publication was not found. The Examiner is requested to consider the enclosed amended Form PTO 1449, make them of record, and indicate consideration of the documents by initialing the enclosed Form PTO 1449. Please return a copy of the initialed Information Disclosure Citation List (Form PTO 1449) to the Applicant's undersigned Attorney.

The Commissioner is hereby authorized to charge any additional fees that may be required or credit any overpayment to deposit account no. 02-4270.

Respectfully,

Victor Cole

Reg. No. 56,331
BROWN RAYSMAN MILLSTEIN FELDER &
STEINER LLP
900 Third Avenue
New York, New York 10022
Tel: (212) 895-2000
Fax: (212) 895-2900

Dated: September 20, 2005

I hereby certify that the correspondence attached herewith is being deposited this date with the U.S. Postal Service as First Class Mail with sufficient postage addressed to Mail Stop: Amendments, Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450.

Kim Dufault September 20, 2005
Date



| | | |
|--|---------------------------------------|---------------------------------------|
| SUPPLEMENTAL INFORMATION DISCLOSURE CITATION LIST | Atty. Docket No.: 5598/89CIP | Application Serial No.: 09/738,471 |
| | Applicant(s): Black et al. | |
| | Filing Date: December 15, 2000 | Group Art Unit: 3627 |

U.S. PATENT DOCUMENTS

| Exam. Init. | | Document Number | Date | Name | Class | Subclass |
|----------------|--|--------------------|------------|-------------------|-------|----------|
| /VF/ | | 5,359,724 | 10/25/1994 | Earle | | |
| /VF/ | | 5,619,410 | 4/8/1997 | Emori et al. | | |
| /VF/ | | 5,659,732 | 8/19/1997 | Kirsch | | |
| /VF/ | | 5,768,581 | 6/16/1998 | Cochran | | |
| /VF/ | | 5,774,660 | 6/30/1998 | Brendel et al. | | |
| /VF/ | | 5,778,397 | 7/7/1998 | Kupiec et al. | | |
| /VF/ | | 5,794,237 | 8/11/1998 | Gore, Jr. | | |
| /VF/ | | 5,845,278 | 12/1/1998 | Kirsch et al. | | |
| /VF/ | | 5,918,240 | 6/29/1999 | Kupiec et al. | | |
| /VF/ | | 5,920,854 | 7/6/1999 | Kirsch et al. | | |
| /VF/ | | 5,983,216 | 11/9/1999 | Kirsch et al. | | |
| /VF/ | | 6,278,992 B1 | 8/21/2001 | Curtis et al. | | |
| /VF/ | | 6,463,428 B1 | 10/8/2002 | Lee et al. | | |
| /VF/ | | 6,505,194 B1 | 1/7/2003 | Nikolovska et al. | | |
| /VF/ | | 6,546,386 B1 | 4/8/2003 | Black et al. | | |
| /VF/ | | 2002/0016741 A1 | 2/7/2002 | Black et al. | | |
| /VF/ | | 2002/0035573 A1 | 3/21/2002 | Black et al. | | |

FOREIGN PATENT DOCUMENTS

| Exam. Init. | | Document Number | Date | Country | Class | Translation |
|----------------|--|--------------------|-----------|---------|-------|-------------|
| /VF/ | | WO 99/45487 | 9/10/1999 | WIPO | | |



OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|------|--|
| /NF/ | “TREC and TIPSTER Experiments with Inquiry”, Callan et al., pp. 1-18, June 24, 1994. |
| /NF/ | “The SMART Automatic Document Retrieval System-An Illustration”, Salton et al.; <i>Communications of the ACM</i> , Vol. 8, No. 6, pp. 391-398, June, 1965. |
| /VF/ | “A Vector Space Model for Automatic Indexing”, Salton et al., <i>Communications of the ACM</i> , Vol. 18, No. 11, pp. 613-620, November, 1975. |
| /VF/ | “An Experimental Comparison of the Effectiveness of Computers and Humans as Search Intermediaries”, Marcus, R.S., <i>Journal of the American Society for Information Science</i> , 34(6):381-404, 1983. |
| /VF/ | “The INQUERY Retrieval System”, Callan et al., <i>Database and Expert Systems Applications</i> , pp. 78-83, 1992. |
| /NF/ | “Clustering Algorithms”, Rasmussen, E; <i>University of Pittsburgh</i> , pp. 419-442, 1992. |
| /VF/ | “Internet Resource Discovery Services”, Obraczka et al., <i>University of Southern California</i> , pp. 8-22, 1993. |
| /VF/ | “Dr-Link™ For Use on Netscape Navigator Quick Start Guide” <i>Manning & Napier Information Services</i> , 1996. |
| /VF/ | “Distributed Indexing: A Scalable Mechanism for Distributed Information Retrieval”, Danzig, P. et al., <i>University of Southern California</i> , pp. 220-229, 1991. |
| /VF/ | “The Effectiveness of GLOSS for the Text Database Discovery Problem”, Gravano, L. et al., <i>ACM SIGMOD</i> , pp. 126-137, 1994. |
| /NF/ | “Compound Document Processing System”, Al-Hawamdeh, S. et al., <i>IEEE</i> , pp. 640-644, 1991. |
| /VF/ | “Harvest: A Scalable, Customizable Discovery and Access System” Bowman, C. et al., <i>University of Colorado</i> , pp. 1-27, 1994. |
| /NF/ | “Growing Company Changes Data Entry – TextWare Outpoints Southern Computer Systems in Evaluation”, Carol Curran, Vol. 4; 3, <i>Imaging World</i> , 1995. |
| /NF/ | “An Industrial Strength Document Warehouse Solution”, <i>Dataware Technologies</i> , pp. 1-12, 1996. |
| /NF/ | “What OLE Is Really About”, Brockschmidt, K., <i>OLE Development</i> , pp. 1-59, http://www.Microsoft.com/oledev/olecom/aboutole.htm , 1997. |
| /NF/ | “Hypertext: An Introduction and Survey” Conklin, J., <i>IEEE</i> , pp. 17-41, 1987. |
| /NF/ | “Chapter 1: Component Object Model Introduction”, <i>OLE Development</i> , pp. 1-37, http://www.Microsoft.com/oledev/olecom/Ch01.htm , 1997. |
| /VF/ | Voyager Stores and the World Wide Web, http://www.voyagerco.com , 1996-97. |
| /NF/ | “Common Questions Asked About ZylIMAGE”, pp. 1-6, http://www.zylab.nl/Exe/ZyNET.exe , 1997. |
| /VF/ | “Powerful Imaging and Full Text Retrieval for Publishing On-Line”, p.1, http://zylab.nl/zylab/p2/zylimageweb.html , 1996. |
| /NF/ | “The Full Text Retrieval & Publishing Experts”, p. 1, http://www.irt.nl (1996) |



| | |
|-----|--|
| NF/ | "UK Police put Criminals On-Line with New National Computer", Young, M., <i>Imaging Magazine</i> (1995) |
| NF/ | "Common Questions Asked About ZylIMAGE", pp. 1-6, http://www.zylab.nl/Exe/ZyNET.exe , (1997) |
| NF/ | "Instant Information Access", <i>TextWare Corporation</i> (1996) |
| NF/ | "Tijuana Data Entry Shop Logs 500K Forms/Day", Spencer, H., <i>ImagingWorld</i> , Vol. 4; 4, (1995) |
| NF/ | "Needles in Document Haystacks", Spitzer, T., <i>Database & Client/Server Solutions</i> , pp. 84-87 (1996) |
| NF/ | "Text Retrieval Products & Services", http://www.textware.com/txtrtrvl.html , 1996 |
| NF/ | "Organizations Worldwide Take Content Onto the Web with NetAnswer", <i>Dataware Technologies</i> (1996) |
| NF/ | "Edit Trail", Ores, P., <i>PC Magazine</i> , pp. 133-138 (1995) |
| NF/ | "The Forms Processing Paradigm Shift", Moore, A., <i>Imaging Magazine</i> (1995) |
| NF/ | "NetAnswer™ Hosting Service", <i>Dataware Technologies, Inc.</i> , 1995. |
| NF/ | "NetAnswer™ Information Super Server for the World Wide Web", <i>Dataware Technologies, Inc.</i> , 1995. |
| NF/ | "TextWare's FormWare -Complex Data Capture That Puts Simplicity First" Harney, J., <i>Imaging Magazine</i> (1996) |
| NF/ | "Fuzzy Searching", http://www.cris.com/~eti/fuzzyhtml (1996) |
| NF/ | "Infoseek Adds New Search Features", Sullivan, D., <i>SearchEngineWatch.com</i> , http://searchenginewatch.com/sereport/article.php/2167241 , pp. 1-3 (6/2/99) |
| NF/ | "AltaVista Adds Related Search Prompter", Sullivan, D., <i>SearchEngineWatch.com</i> , http://searchenginewatch.com/sereport/article.php/2166801 , pp. 1-2 (11/4/98) |
| NF/ | "AltaVista Launches Prisma", Pandia, pp. 1-6, http://www.pandia.com/sw-2002/22-alta Vista.html (7/2/02) |
| NF/ | "Okapi at TREC-3", Robertson et al., <i>Centre for Interactive Systems Research of Information Science City University</i> , pp. 109-230, 1995. |
| NF/ | "The Collection Fusion Problem", Voorhees E., et al., <i>Siemens Corporate Research, Inc.</i> pp. 95-104, 1995. |
| NF/ | "Efficient Probabilistic Inference for Text Retrieval", Turtle, H. et al, <i>Intelligent Text and Image Handling</i> , pp. 644-661 (1991) |
| NF/ | "Evaluation of an Inference Network-Based Retrieval Model", Turtle, H., <i>acm Transactions on Information Systems</i> , pp. 187-222, Vol. 9, 3 (1991) |
| NF/ | "Searching Far and Wide: The Powerful Document Retrieval Software of PLS", Banet, B., <i>Seybold Report on Desktop Publishing</i> , Vol. 10: 8 (4/22/96) |
| NF/ | "Search Engine Reviews", Sullivan, D., <i>SearchEngineWatch.com</i> , pp. 1-15, http://www.searchenginewatch.com/resources/article.php/2156581 (11/15/02) |
| NF/ | "How Search Engines Work", Sullivan, D., <i>SearchEngineWatch.com</i> , pp. 1-3, http://www.searchenginewatch.com/resources/article.php/2168031 (11/14/02) |



| | |
|------|--|
| /NF/ | "Search Engines: Court Cases, Lawsuits and Legal Issues", Sullivan, D., <i>SearchEngineWatch.com</i> , pp. 1-16, http://www.searchenginewatch.com/resources/article.php/2156541 (5/15/03) |
| /NF/ | "About Search Engines", <i>Leap Publications</i> , http://leapint.dircon.co.uk/about_search_engines.htm (7/8/03) |
| /NF/ | "Search Engine Page Ranking", Watson, G., http://256.com/gray/docs/search.html , pp. 1-5 (2000) |
| /NF/ | "Free Full Text: FindArticles and MagPortal" Notess, G. http://www.infotoday.com/online/ju102/OnTheNet.htm , pp. 1-4 (July/August, 2002) |
| /NF/ | "Betting on Web Search, Inktomi Unveils Upgrades", Haley, C., http://www.internetnews.com/IAR/article.php/1545671 , pp. 1-4 (11/20/02) |
| /NF/ | "Internet Brand Leaders Dominate Low Price Competitors, MIT Study Shows", http://www.globaltechnoscan.com/28thJune-4thJuly/internet_brand.htm , pp. 1-3 (7/8/2003) |
| /NF/ | "Our Amazon.com Policy", http://monkeyfist.com/profile/amazon (7/8/03) |
| /NF/ | "Where Are They Now? Search Engines We've Known & Loved", Sullivan, D., http://www.searchenginewatch.com/sereport/article.php/2175241 , pp. 1-4 (3/4/03) |
| /NF/ | "Choosing", Boedeker, T. et al., <i>Law Office Computing</i> pp. 50-55 (1995) |
| /NF/ | "Boolean Searching" http://www.cris.com/-eti/Boolean.html (1996) |
| /NF/ | "Agent Searching" http://www.cris.com/-eti/agent.html (1996) |
| /NF/ | "An Essential Ingredient: Post Recognition Processing", Bish, R., <i>Imaging World</i> (1996) |
| /NF/ | "Forms Processing Products & Services", http://www.textware.com/formspro.html , pp. 1-3, 1996. |
| /NF/ | "Your Forms Processing Solution", <i>FormWare for Windows 95/NT</i> (1995) |
| /NF/ | "Introduction to Dataware's CD-ROM Product and Services", pp. 1-12, http://www.dataware.com/site/prodserv/cdintro.htm (11/12/96) |
| /NF/ | "Issues in the Design of Hypermedia Systems", Halasz, F. (1990) |
| /NF/ | "Dataware Lands \$6.6M GPO Pact", Moore J., <i>Marketplace</i> , pp. 84, 86 (1995) |
| /NF/ | "Personal Text-Retrieval Software Works with Calera's WordScan", ZyLAB, <i>PCMAGAZINE</i> p. 68 (1/24/95) |
| /NF/ | "Retrieving Text on the Net", ZyLAB, <i>PC Magazine</i> , p. 61, (11/21/1995) |
| /NF/ | "Hip Products" ZyLAB Europe BV. - Products, p. 1, http://www.zylab.nl/zylab/p2/prods.html (12/29/97) |
| /NF/ | "HyperWriter for Windows", <i>PC Magazine</i> , pp. 140-142 (2/7/95) |
| /NF/ | Product Summary of MicroRetrieval Corp., Text and image search/retrieval program, Software product specification, p. 1, November 1993. |
| /NF/ | Jupiterdirect - Search Engines, p. 1, http://www.jupiterdirect.com/bin/container.p1/897 (7/8/03) |



| | |
|--------------------------|--|
| /VF/ | G. Pascal Zachery, "The Godfather." <i>WIRED</i> . WIRED (unknown), November 30, 1997. |
| /VF/ | Steve Ditlea, "Ted Nelson's Big Step." <i>techreview.com</i> . techreview.com (unknown), October 31, 1998. |
| /VF/ | WEBOPEDIA, "hypertext," <i>Webopedia</i> , Webopedia (unknown) September 1, 1996. |
| /VF/ | WEBOPEDIA, "hypermedia," <i>Webopedia</i> , Webopedia (unknown) October 5, 1997. |
| /VF/ | WEBOPEDIA, "hyperlink," <i>Webopedia</i> , Webopedia (unknown) February 25, 1997. |
| /VF/ | WEBOPEDIA, "hot link," <i>Webopedia</i> , Webopedia (unknown) September 1, 1996. |
| /VF/ | L. Hillman, "Sum: Am. English Word Frequency Lists," <i>LINGUIST</i> List 7.583, October 31, 1996. |
| /VF/ | J.M. Carroll, et al. "Computer Selection of Keywords Using Word-Frequency Analysis," http://www.vuw.ac.nz/lals/lals508/vrc.html , Washington, D.C., Vol. 20, No. 3, pp. 227-233, September 5, 1969. |
| Examiner: /Vanel Frenel/ | Date Considered: 12/08/2009 |